

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004-0189

First Named Inventor : Horst Georg ZERBE et al.

Title: WATER SOLUBLE FILM FOR ORAL ADMINISTRATION WITH  
INSTANT WETTABILITY

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Small Entity Status is hereby asserted.

3. ☒ Specification [Total Pages: 13]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Sequence Listing, a table, or a computer  
program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) [Total Sheets: ]

5. ☒ Oath or Declaration [Total Pages: 4]  
a.1. ☐ Newly executed (original or copy)  
a.2. ☐ Unexecuted  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ The paper and computer readable copies are identical

ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☒ Other Change of Address  
Claim of Priority

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet :  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10/143,962, filed May 14, 2002

Prior Application Information Examiner James H. Reamer

Group Art Unit 1614

For CONTINUATION OR DIVISIONAL APPS. only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,  
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.  
000513

By:



Michael R. Davis  
Registration No. 25,134

WENDEROTH, LIND & PONACK, L.L.P.  
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THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Horst Georg ZERBE et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed February 5, 2004 : Attorney Docket No. 2004-0189  
WATER SOLUBLE FILM FOR ORAL  
ADMINISTRATION WITH  
INSTANT WETTABILITY :  
(Rule 1.53(b) Continuation of Serial No.  
10/143,962, Filed May 14, 2002)

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**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$770.00 to cover Patent Office fees relating to filing the following attached papers:

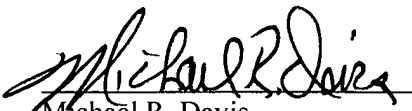
Rule 53(b) **Continuation** application . . . . . \$770.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Horst Georg ZERBE et al.

By:   
Michael R. Davis  
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Attorney for Applicants

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February 5, 2004

[Check No. 60044]

2004-0189

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Horst Georg ZERBE et al. :  
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WATER SOLUBLE FILM FOR ORAL  
ADMINISTRATION WITH  
INSTANT WETTABILITY  
**(Rule 1.53(b) Continuation of Serial No.  
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**CHANGE OF ADDRESS**

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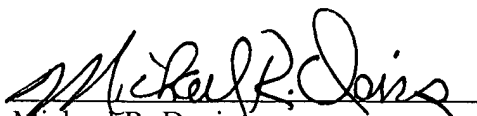
Sir:

*Effective immediately*, please note the following change of address for the  
undersigned attorney of record:

**WENDEROTH, LIND & PONACK**  
**2033 "K" Street N.W.**  
**Suite 800**  
**Washington, D.C. 20006-1021**

Respectfully submitted,

Horst Georg ZERBE et al.

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